

# UNIVERSAL STARCH-CHEM ALLIED LTD.



Mhatre Pen Building, 'B' Wing, 2nd Floor, Senapati Bapat Marg, Dadar (West), Mumbai - 400 028.  
Tel.: 6656 3333, 2436 2210 • Fax:022-2432 7436 • Gram:GROWMAIZE • E-mail:mumbai@universalstarch.com  
CIN : L24110MH1973PLC016247

Date :

Date: 26th June, 2021

To,  
BSE Limited  
Phiroze Jeejeebhoy Towers,  
Dalal Street, Fort,  
Mumbai - 400 001

**Sub: Annual Secretarial Compliance Report for the F.Y.2020-2021**  
**Ref.: Scrip Code – 524408**

Dear Sir

With regards to captioned subject matter and Pursuant to SEBI Circular No. CIR/CFD/CMD1/27/2019 dated 08<sup>th</sup> February, 2019, please find enclosed herewith the Annual Secretarial Compliance Report for the financial year ended 31<sup>st</sup> March, 2021 issued by M/s. Leena Agrawal & Co (Practising Company Secretaries).

Kindly take above on your record, and acknowledge the receipt of the same.

Thanking you,  
Yours Faithfully,

For Universal Starch Chem Allied Limited

Chaitali Salgaonkar  
Company Secretary & Compliance Officer  
Encl - ala



# LEENA AGRAWAL & CO.

PRACTISING COMPANY SECRETARIES

Address: 204, Mhatre Pen Building, Senapati Bapat Marg, Dadar (w)-Mumbai-400028  
Email: leenaagrawal06@gmail.com, Tel: 24314881/24314882

## SECRETARIAL COMPLIANCE REPORT OF UNIVERSAL STARCH CHEM ALLIED LIMITED FOR THE FINANCIAL YEAR ENDED MARCH 31, 2021

[Pursuant to Circular CIR/CFD/CMD1/27/2019 dated February 08, 2019 for the purpose of compliance with Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015]

To,  
Board of Directors,  
Universal Starch Chem Allied Limited  
CIN: L24110MH1973PLC016247  
B Wing, 2<sup>nd</sup> Floor, Mhatre Pen Building,  
Senapati Bapat Marg,  
Dadar West,  
Mumbai 400028.

I Pankita Lakhani, Company Secretary, partner in Leena Agrawal & CO., Practising Company Secretaries, have examined:

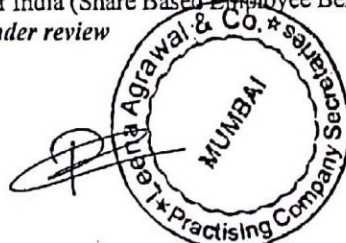
- All the documents and records made available to us and explanation provided by Universal Starch Chem Allied Limited ("the listed entity"),
- The filings/ submissions made by the listed entity to the stock exchanges,
- Website of the listed entity,
- Any other document/ filing, as may be relevant, which has been relied upon to make this certification,

For the year ended March 31, 2021 ("Review Period") in respect of compliance with the provisions of:

- The Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued there under; and
- The Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made there under and the Regulations, circulars, guidelines issued there under by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued there under, have been examined, include: -

- Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;  
*- not applicable for the period under review*
- Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;  
*- not applicable for the period under review*
- Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;  
*- not applicable for the period under review*



**LEENA AGRAWAL & CO.**  
**PRACTISING COMPANY SECRETARIES**

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- f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; - **not applicable for the period under review**
- g) Securities and Exchange Board of India (Issue and Listing of Nonconvertible and Redeemable Preference Shares) Regulations, 2013;  
 - **not applicable for the period under review**
- h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- i) SEBI (Depositories and Participants) Regulations, 2018 erstwhile SEBI (Depositories and Participants) Regulations, 1996;
- j) The provisions of the Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993;
- k) The provisions of Securities and Exchange Board of India (Delisting of Equity Shares) Regulations, 2009;  
 - **not applicable for the period under review**

and based on the above examination, I hereby report that, during the Review Period:

- a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued there under, except in respect of matters specified below:

Sr. No	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations / Remarks of the Practicing Company Secretary
Nil			

- b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued there under insofar as it appears from my/our examination of those records.
- c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued there under:

Sr. No	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations / remarks of the Practicing Company Secretary, if any.
Not Applicable				

- d) The listed entity has taken the following actions to comply with the observations made in previous reports:

